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FILED IN OPEN COURT

DATE: 10/27/15

IN THE UNITED STATES DISTRICT COURT

FOR THE WESTERN DISTRICT OF TENNESSEEINITIALS: 376

WESTERN DIVISION

WCM INDUSTRIES, INC.,

Plaintiff,

v.

No. 2:13-cv-2019-JPM-tmp

IPS CORPORATION,

Defendant.

)

JURY VERDICT FORM

We, the jury in the above-entitled action, find the following special verdict on the following questions submitted to us.

#### PATENT INFRINGEMENT CLAIMS

#### THE '220 PATENT

### Question No. 1 - Direct Infringement (Literal):

Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that Defendant IPS Corporation ("IPS") has literally infringed any of the following asserted claims of U.S. Patent No. 8,302,220 (sometimes referred to as the '220 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the original "Classic" Products:

Claim 12 of '220 Patent: Yes X No \_\_\_\_\_\_

Claim 13 of '220 Patent: Yes X No \_\_\_\_\_

As	to the revised "Classic" Products:		
	Claim 12 of '220 Patent:	Yes No	
	Claim 13 of '220 Patent:	Yes No 🗶	
As	to the original "Classic" Rough-In Pro	oducts:	
	Claim 12 of '220 Patent:	Yes X No	
	Claim 13 of '220 Patent:	Yes X No	
As	to the revised "Classic" Rough-In Prod	ducts:	
	Claim 12 of '220 Patent:	Yes No 🗶	
	Claim 13 of '220 Patent:	Yes No 🗶	

# Question No. 2 - Direct Infringement (Doctrine of Equivalents):

Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that Defendant IPS Corporation ("IPS") has infringed, under the doctrine of equivalents, any of the following asserted claims of U.S. Patent No. 8,302,220 (sometimes referred to as the '220 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the revised "Classic" Products:

Claim 12 of '220 Patent: Yes X No \_\_\_\_

Claim 13 of '220 Patent: Yes X No \_\_\_\_

As to the revised "Classic" Rough-In Products:

Claim 12 of '220 Patent: Yes X No \_\_\_\_

Claim 13 of '220 Patent: Yes 🔀 No \_\_\_\_

#### Question No. 3 - Contributory Infringement:

Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that IPS has contributorily infringed any of the following asserted claims of U.S. Patent No. 8,302,220 (sometimes referred to as the '220 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the original "Classic" Rough-In Products:

Claim 12 of '220 Patent:

Yes 🗶 No \_\_\_\_

Claim 13 of '220 Patent:

Yes X No

As to the revised "Classic" Rough-In Products:

Claim 12 of '220 Patent:

Yes X No \_\_\_\_

Claim 13 of '220 Patent:

Yes 🗶 No \_\_\_\_

# Question No. 4 - Inducing Infringement:

Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that IPS has induced infringement of any of the following asserted claims of U.S. Patent No. 8,302,220 (sometimes referred to as the '220 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the original "Classic" Rough-In Products:

Claim 12 of '220 Patent: Yes X No \_\_\_\_\_

Claim 13 of '220 Patent: Yes X No \_\_\_\_

As to the revised "Classic" Rough-In Products:

Claim 12 of '220 Patent: Yes X No \_\_\_\_\_

Claim 13 of '220 Patent: Yes X No \_\_\_\_

#### THE '970 PATENT

#### Question No. 5 - Direct Infringement (Literal):

Has WCM proven by a preponderance of the evidence that IPS has literally infringed the following asserted claim of U.S. Patent No. 8,231,970 (sometimes referred to as the '970 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the original "Classic" Products:

Claim 1 of '970 Patent:

Yes 🔀 No \_\_\_\_

As to the revised "Classic" Products:

Claim 1 of '970 Patent:

Yes \_\_\_\_ No 🗶

As to the original "Classic" Rough-In Products:

Claim 1 of '970 Patent:

Yes \_X No \_\_\_\_

AS	to the revised "Classic" Rough-In	Products:	
	Claim 1 of '970 Patent:	Yes No 🗶	
As	to IPS's "Press-in" Trim Kit:		
	Claim 1 of '970 Patent:	Yes 💥 No	

Question No.	6 -	Direct	Infringement	(Doctrine	of	Equivalents)	) :
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Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that Defendant IPS Corporation ("IPS") has infringed, under the doctrine of equivalents, the following asserted claim of U.S. Patent No. 8,231,970 (sometimes referred to as the '970 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the revised "Classic" Products:

Claim 1 of '970 Patent:

Yes 🗶 No \_\_\_\_

As to the revised "Classic" Rough-In Products:

Claim 1 of '970 Patent:

Yes X No \_\_\_\_

As to IPS's "Press-in" Trim Kit:

Claim 1 of '970 Patent:

Yes X No \_\_\_\_

# Question No. 7 - Contributory Infringement:

Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that IPS has **contributorily infringed** any of the following asserted claims of U.S. Patent No. 8,321,970 (sometimes referred to as the '970 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the original "Classic" Products:

Claim 1 of '970 Patent:

Yes X\_\_\_ No \_\_\_\_

As to the revised "Classic" Products:

Claim 1 of '970 Patent:

Yes X No

As to the original "Classic" Rough-In Products:

Claim 1 of '970 Patent:

Yes 🗶 No \_\_\_\_

As	to the revised "Classic" Rough-In Proc	lucts:
	Claim 1 of '970 Patent:	Yes 🗶 No
As	to IPS's "Press-in" Trim Kit:	
	Claim 1 of '970 Patent:	Yes 🗶 No

#### Question No. 8 - Inducing Infringement:

Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that IPS has induced infringement of any of the following asserted claims of U.S. Patent No. 8,321,970 (sometimes referred to as the '970 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.1

As to the original "Classic" Products:

Claim 1 of '970 Patent: Yes X No \_\_\_\_

As to the revised "Classic" Products:

Claim 1 of '970 Patent:

Yes 🔀 No \_\_\_\_

As to the original "Classic" Rough-In Products:

Claim 1 of '970 Patent: Yes X No \_\_\_\_

As to the revised "Classic" Rough-In Prod	ducts:
Claim 1 of '970 Patent:	Yes 🗶 No
As to IPS's "Press-in" Trim Kit:	
Claim 1 of '970 Patent:	Yes 🗶 No

#### THE '272 PATENT

# Question No. 9 - Direct Infringement (Literal):

Has WCM proven by a preponderance of the evidence that IPS has literally infringed any of the following asserted claims of U.S. Patent No. 8,584,272 (sometimes referred to as the '272 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the original "Classic" Products:

Claim 11 of '272 Patent: Yes X No \_\_\_\_

Claim 12 of '272 Patent: Yes X No \_\_\_\_

Claim 13 of '272 Patent: Yes X No \_\_\_\_

As to the revised "Classic" Products:

Claim 11 of '272 Patent: Yes \_\_\_\_\_ No 🔀

Claim 12 of '272 Patent: Yes \_\_\_\_\_ No \_\_\_\_\_

Claim 13 of '272 Patent: Yes \_\_\_\_ No \_\_\_\_

As	to	the	original	"Classic"	Rough-In	Products:

Claim 11 of '272 Patent: Yes X No \_\_\_\_

· ·

Claim 12 of '272 Patent: Yes X No \_\_\_\_

Claim 13 of '272 Patent: Yes 🔀 No \_\_\_\_

# As to the revised "Classic" Rough-In Products:

Claim 11 of '272 Patent: Yes \_\_\_\_\_ No \_\_\_\_\_

Claim 12 of '272 Patent: Yes \_\_\_\_ No 💥

As to IPS's "Press-in" Trim Kit:

Claim 13 of '272 Patent: Yes X No \_\_\_\_

### Question No. 10 - Direct Infringement (Doctrine of Equivalents):

Has Plaintiff WCM Industries, Inc., ("WCM") proven that by a preponderance of the evidence that Defendant IPS Corporation ("IPS") has infringed, under the doctrine of equivalents, any of the following asserted claims of U.S. Patent No. 8,584,272 (sometimes referred to as the '272 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the revised "Classic" Products:

Claim 11 of '272 Patent: Yes \_\_\_\_\_ No \_\_\_\_

Claim 12 of '272 Patent: Yes X No \_\_\_\_

Claim 13 of '272 Patent: Yes X No \_\_\_\_

As to the revised "Classic" Rough-In Products:

Claim 11 of '272 Patent: Yes X No \_\_\_\_

Claim 12 of '272 Patent: Yes X No \_\_\_\_

Claim 13 of '272 Patent: Yes X No \_\_\_\_

As to IPS's "Press-in" Trim Kit:

Claim 13 of '272 Patent:

Yes X No \_\_\_\_

# Question No. 11 - Contributory Infringement:

Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that IPS has contributorily infringed any of the following asserted claims of U.S. Patent No. 8,584,272 (sometimes referred to as the '272 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the original "Classic" Products:

Claim 13 of '272 Patent:

Yes 🔀 No \_\_\_\_

As to the revised "Classic" Products:

Claim 13 of '272 Patent: Yes X No \_\_\_\_

As to the original "Classic" Rough-In Products:

Claim 11 of '272 Patent:

Yes X No

Claim 12 of '272 Patent:

Claim 13 of '272 Patent:

Yes X No \_\_\_\_

As to the revised "Classic" Rough-In Products:

Claim 11 of '272 Patent:

Yes -X No \_\_\_\_

Claim 12 of '272 Patent:

Yes X No

Claim 13 of '272 Patent:

Yes 💉 No \_\_\_\_

As to IPS's "Press-in" Trim Kit:

Claim 13 of '272 Patent: Yes 🗶 No \_\_\_\_

# Question No. 12 - Inducing Infringement:

Has Plaintiff WCM Industries, Inc., ("WCM") proven by a preponderance of the evidence that IPS has induced infringement of any of the following asserted claims of U.S. Patent No. 8,584,272 (sometimes referred to as the '272 Patent)?

[A "Yes" answer below is in favor of WCM; a "No" answer is in favor of IPS.]

As to the original "Classic" Products:

Claim 13 of '272 Patent:

Yes 🔀 No \_\_\_\_

As to the revised "Classic" Products:

Claim 13 of '272 Patent: Yes X No \_\_\_\_

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10	LU	the	Original	"Classic"	Kough-In	Products:

Claim 11 of '272 Patent: Yes 🔀 No \_\_\_\_

Claim 12 of '272 Patent: Yes <u>X</u> No \_\_\_\_

Claim 13 of '272 Patent: Yes X No \_\_\_\_\_

As to the revised "Classic" Rough-In Products:

Claim 11 of '272 Patent: Yes 💥 No \_\_\_\_

Claim 12 of '272 Patent: Yes X No \_\_\_\_\_

Claim 13 of '272 Patent: Yes <u>X</u> No \_\_\_\_

As to IPS's "Press-in" Trim Kit:

Claim 13 of '272 Patent: Yes X No \_\_\_\_

#### INVALIDITY CLAIMS

Answer Questions 13-15 below only if you have found at least one asserted claim to be infringed. Answer those questions only as to those claims you found to be infringed.

#### Question No. 13 - Invalidity:

Has Defendant IPS proven by clear and convincing evidence that any of the following claims of U.S. Patent No. 8,302,220 (sometimes referred to as the '220 Patent), U.S. Patent No. 8,231,970 (sometimes referred to as the '970 Patent), or U.S. Patent No. 8,584,272 (sometimes referred to as the '272 Patent) are "anticipated," or in other words, not new?

[A "Yes" answer below is in favor of IPS; a "No" answer is in favor of WCM.]

Claim	12	of	<b>'</b> 220	Patent:	Yes	No	<u> </u>
Claim	13	of	<b>′</b> 220	Patent:	Yes	No	<u>×</u>

Claim 1 of '970 Patent: Yes \_\_\_\_\_ No 🔀

Claim 11 of '272 Patent: Yes \_\_\_\_\_ No \_X

Claim 12 of '272 Patent: Yes \_\_\_\_\_ No \_X

Claim 13 of '272 Patent: Yes \_\_\_\_\_ No \_X

#### Question No. 14 - Statutory Bar

14(a). Before you decide whether IPS has proven by clear and convincing evidence that any of the asserted patent claims are invalid under a statutory bar, you must first determine the date of the claimed inventions. WCM contends that the asserted patent claims are entitled to the date those inventions were conceived or reduced to practice. IPS contends that the asserted patent claims are entitled to a date of invention corresponding to the filing dates of the Asserted Patents.

Do you find that the following patent claims are entitled to the date of conception/reduction to practice, or instead are entitled to the date the applications for the Asserted Patents were filed (only check one for each of the following asserted claims):

Claim 12 of the '220 Patent:

December 7, 1999 X December 10, 2003 \_\_\_\_

Claim 13 of the '220 Patent:

December 7, 1999 X December 10, 2003 \_\_\_\_

Claim 1 of the '970 Patent:

August 23, 2005 X September 15, 2011\_\_\_

Claim 11 of the '272 Patent:	
December 7, 1999 X	November 30, 2012
Claim 12 of the '272 Patent:	
December 7, 1999 🗶	November 30, 2012
Claim 13 of the '272 Patent:	
August 23, 2005 🗶	November 30, 2012
14(b). Has IPS proven by clear ar	nd convincing evidence that any of the
following patent claims are inva	alid because of a prior patent, a prior
publication, or prior use at lea	ast one year before the dates of
application for the Asserted Par	tents in the United States?
	el .
Claim 12 of '220 Patent:	Yes No X
Claim 13 of '220 Patent:	Yes No 🗶
Claim 1 of '970 Patent:	Yes No 🗶

Claim	11	of	<b>'</b> 272	Patent:	Ye	s	No	*
Claim	12	of	<b>′</b> 272	Patent:	Ye	s	No	<u> </u>
Claim	12	٥f	1272	Patont:	٧٥	c	No	Y

If any answer is "yes," check any reason below that is applicable:

The claimed invention was already patented or described in a printed publication somewhere in the world at least one year before the filing date of the patent application.

The claimed invention was already being openly used in the United States at least one year before the filing date of the patent application and that use was not primarily an experimental use to test whether the invention worked for its intended purpose which was controlled by the inventor.

### Question No. 15 - Obviousness:

The ultimate legal conclusion on the obviousness question will be made by the court. To aid the court, however, you are asked to deliver an advisory opinion as to obviousness. However, before you do so, you must answer the following preliminary factual questions:

15(a). Does the scope and content of the prior art at the time of the claimed invention include the following? (check all that apply)

# None Apply

	U.S.	Patent	1,66	9,473 to K	cels	0			
11 <del>013/3</del>	Swiss	s Patent	: CH	346,187 to	.Ge	bert			
	Germa	an Paten	nt DE	3,603,877	to	Geberi	t		
****	U.S.	Patent	Appl	ication Pu	abli	.cation	2002/0032926	to	Lewis
	U.S.	Patent	No.	6,192,531	to	Fritz			
	U.S.	Patent	No.	5,350,266	to	Espey			
	U.S.	Patent	No.	4,890,967	to	Rosenba	aum		
1 <del></del>	U.S.	Patent	No.	5,692,248	to	Ball			
	U.S.	Patent	No.	5,745,931	to	Ball			

(i) What difference, if any, existed between the claimed invention and the prior art at the time of the claimed invention?

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- (ii) Which of the following factors has been established by the evidence with respect to the claimed invention? (check those that apply)
- igwedge A long-felt need for the solution provided by the claimed invention;
  - > Unexpectedly superior results over the closest prior art;
- Acceptance by others of the claimed invention as shown by praise from others in the field or from the licensing of the claimed invention;
  - X Other evidence tending to show non-obviousness
- 15(b). In this case, it is undisputed that the level of ordinary skill in the field that someone would have had at the time the claimed invention was made is: (1) at least a Bachelor's degree in a technical field or engineering discipline with at least three years of experience in the

plumbing field, or (2) at least equivalent experience (such as at least eight years of experience) in the plumbing industry.

15(c). After consideration of the answers to the preliminary questions above and the qualifications of a person of ordinary skill in the art, do you find that IPS has proven by clear and convincing evidence that any asserted claim of WCM's patent(s) would have been obvious to a person of ordinary skill in the art at the time the invention you are considering was made?

		26	
Yes	No	X	
100	 		

If the answer is "yes," which claim(s) would have been obvious to a person of ordinary skill in the art?

Claim	12 of '220 Pa	tent:	res	NO
Claim	13 of '220 Pa	tent:	Yes	No 🗶
Claim	1 of '970 Pat	ent:	Yes	No 🗶
Claim	11 of '272 Pa	tent:	Yes	No 🗶
Claim	12 of '272 Pa	tent:	Yes	No 🗶
Claim	13 of '272 Pa	tent:	Yes	No <b>X</b>

#### DAMAGES CLAIM FOR PATENT INFRINGEMENT

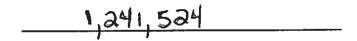
#### Question No. 16:

If you found for WCM on any of WCM's claims for patent infringement [that is, if you answered "Yes" to any part of Question Nos. 1-12], and for the same claim(s), you found for WCM for the questions of validity [that is, if you answered "No" for the same claim(s) to Question Nos. 13, 14(b), and 15(c)], then answer the following questions:

16(a). What do you find is the reasonable royalty rate per unit due WCM to compensate for the damage caused by IPS's infringement?

\$ 1.00	
1.00	

16(b). What do you find is the total number of infringing units sold by IPS?



#### WILLFULNESS

#### Question No. 17:

If you found that IPS infringed any claims of the patents identified in Question Nos. 1-12 above, has WCM proven by clear and convincing evidence that IPS's infringement was willful and/or that IPS acted with willful blindness?

[A "Yes" answer is in favor of WCM; a "No" answer is in favor of IPS.]

Claim 12 of '220 Patent: Yes \_X\_\_\_ No \_\_\_\_\_

Claim 13 of '220 Patent: Yes X No \_\_\_\_

Claim 1 of '970 Patent: Yes X No \_\_\_\_

Claim 11 of '272 Patent: Yes 💥 No \_\_\_\_

Claim 12 of '272 Patent: Yes 🔀 No \_\_\_\_

Claim 13 of '272 Patent: Yes X No \_\_\_\_

Presiding Juror:	
Danielle Pinas	
Date:	
10/27/2015	

After the presiding juror signs, then each juror should also sign, indicating agreement to <u>each verdict</u> (i.e., each answer) in this Verdict

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